

UPSC - IAS

Civil Services Examinations

Union Public Service Commission

General Studies

Paper 1 – Volume 3

Modern History & Post Independence



UPSC CSE - IAS

Modern History & Post Independence

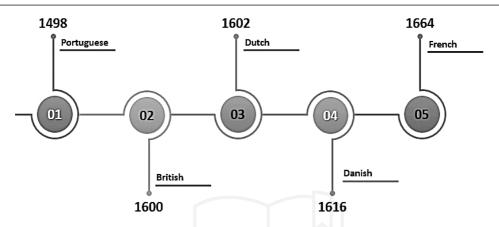
Paper – 1 Volume 3

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T CHAPTER

Arrival of European





Factors led to the Advent of European

- Weak rulers and fragmented regional powers:
 - Weak Mughal Powers after Aurabgzeb in 1707
 - Rise of regional powers
- Vast wealth of India:
 - Europeans came to know about the immense wealth of India from the accounts of Marco Polo and some other sources.
- Heavy demand for Indian commodities: Heavy demand for Indian commodities like spices, calicoes, silk, precious stones, porcelain, etc.
- Control of Arabs and Technological Advancement:
 - Major land routes to India were under Arab control.
 - So no direct route to trade
- Attempts:

- In the 15th century Europe saw great advancement in ship building and navigation.
- Quest of the expansion of the market:
 - o Rapid Industrialization
 - Market expansion to fulfill their capitalist desire.

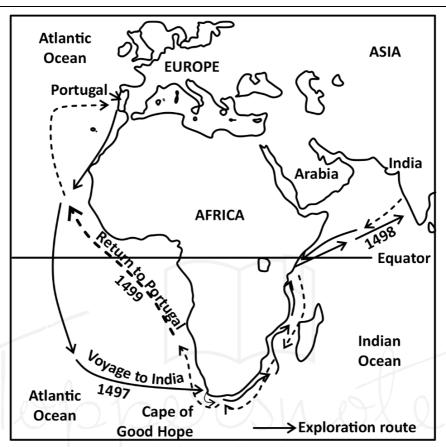
Discovery of a Sea Route to India

- Need:
 - Decline of Roman Empire
 - o domination of Arab in Egypt and Persia
 - High demand of Indian commodities and reduced contacts
 - Arab control over sea route (Suez Canal route)
 - Renaissance in Europe and advancement in the art of ship-building and navigation.

Prince Henry of Portugal Christopher Columbus Portuguese Arrived · Received Bull from Pop With the help of King of Vasco Da Gama landed Nicholas to navigate via sea Spain attempted to find on Calicut Met King Zamorin of to India sea route to India Reach America instead · But died before attempting Calicut Set up a trading company at Cannanore A Portuguese sailor Portuguese Navigator With the help of Abdul Rounded Cape of Good Majid, a Gujarati pilot Hope in Africa and sailed Discovered alternate sea up the eastern coast route to India **Bartholomew Dias** Vasco Da Gama

Foreign Powers

Portuguese





Important Personalities

•	
Vasco Da Gama	Arrived at Calicut in May 1498
	Received permission to establish business by King Zamorin of Calicut
	At Cannanore, he set up a trading factory .
Pedro Alvarez Cabral	Established first European factory in India in 1500 at Calicut
	Successfully retaliated Arab attack on Portuguese
	Bombarded Calicut and made advantageous treaties with rulers of Cochin and Cannanore
Francisco De	In 1505, Francisco De Almeida tried to consolidate the position of Portuguese in India.
Almeida	He built fortresses at Anjadiva, Cochin, Cannanore and Kilwa
	Vision: to make the Portuguese the master of the Indian Ocean.
	His policy was k/a the Blue Water Policy and cartaze system.
	Blue water policy
	• Fortification of the Indian Ocean, not for the security but for the establishment of
	Portuguese business in the Indian ocean
	Cartaz system
	naval trade license issued by Portuguese in the Indian ocean in 16th century.
	Similar British System = navicert system in the 20th century.

Alfonso de Albuquerque

- Real founder of the Portuguese power in India.
- Introduced a permit system for other ships.
- Acquired Goa in 1510 and Goa became "the first bit of Indian territory to be under the Europeans since the time of Alexander the Great".
- Encouraged Portuguese men to take local wives and stressed the abolition of sati

Portuguese Establishments in India

- Occupied regions around the coast of Goa from Mumbai to Daman and Diu and then to Gujarat, they controlled four important ports and towns and villages..
- Established military posts and settlements on the east coast at San Thome (in Chennai) and Nagapattinam (in Andhra).
- Imperial farman circa 1579 made them settle near Satgaon in Bengal for trading activities.

Portuguese Administration in India

- Important Posts:
 - Viceroy: head of the administration, serving for three years.
 - Vedor da Fazenda: revenues and the cargoes and dispatch of fleets.
 - Captain: incharge of fortresses, assisted by 'factors'.

Policies:

- Monopolised the manufacture of salt,
- Built a custom house and started levying a duty on tobacco.
- O Started **slave trade**, purchased Hindu and Muslim children and converted to Christianity.

Religious Policy of the Portuguese

- Zeal to **promote Christinaty**.
- Intolerant toward muslim and hindu religion.
- Attempted to convert Akbar to Christanity:
 - Jesuits made a good impression at the court of Akbar, due to his interest in theology.
 - In September 1579, Jesuit fathers, Rodolfo Aquaviva and Antonio Monserrate were sent to Akbar's court
 - Again in 1590 and 1595 missions were sent
 - O Jahangir, on ascending throne, assuaged the Muslims and neglected Jesuit fathers.
 - 1606 he again renewed his favours to them.
- Church and collegium at Lahore was allowed to be retained by them

Decline of the Portuguese

- Local advantages gained in India were reduced with immediate neighbours.
- Religious policies made Hindus resentful.

- **Dishonest trade practices** met a strong reaction and gained notoriety as sea pirates.
- Arrogance and violence brought them the animosity of the rulers of India.
- Discovery of Brazil diverted colonising activities of Portugal to the West.
- Dutch and the English also learnt the skills of ocean navigation.
- Different trading communities from Europe began a fierce rivalry among them.
 - Dutch and the English had greater resources to expand overseas, and they overcame the Portuguese resistance.
- Spice trade came under the control of the Dutch, and Goa was superseded by Brazil as the economic centre of the overseas empire of Portugal.

Significance of the Portuguese

Military:

- Military innovation in the use of body armour, matchlock men, and guns
- O Contributed to the Mughal use of field guns, and the 'artillery of the stirrup'.
- System of drilling groups of infantry, on the Spanish model.

Naval Techniques:

- Multi-decked ships were heavily constructed, designed to ride out Atlantic gales rather than run before the regular monsoons
 - This permitted them to carry heavier armament.
- o use of **castled prow and stern**
- creation of royal arsenals and dockyards and the maintenance of a regular system of pilots and mapping and pitting state forces against private merchant shipping

Cultural Work:

- Art of silversmith and goldsmith flourished at Goa, and the place became a centre of elaborate filigree work, fretted foliage work and metal work embedding jewels.
- O Interior of churches built by Portuguese have woodwork, sculpture and painted ceilings; they are generally simple in their architectural plan.

Dutch

- In 1596, Cornelis de Houtman → first Dutchman to reach Sumatra and Bantam.
- In 1602, many trading companies amalgamated → EIC of the Netherlands.
- Company was empowered to carry out war, conclude treaties, to take possession of territory and to erect fortresses.

Dutch Settlements in India

- First factory in Masulipatnam (in Andhra) in 1605.
- In **1609** opened **a factory in Pulicat**, north of Madras.
- Other principal factories at Surat (1616), Bimlipatam (1641), Karaikal (1645), Chinsurah (1653), Baranagar, Kasimbazar (near Murshidabad), Balasore, Patna, Nagapatam (1658), Cochin (1663).

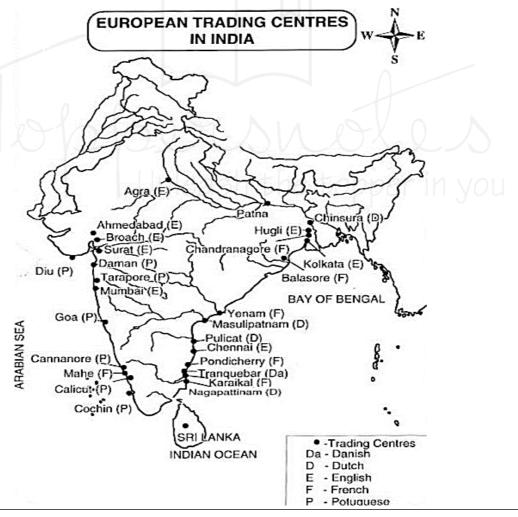
Trade under Dutch in India

- Manufactured:
 - o Indigo: the Yamuna valley and Central India,
 - textiles and silk: Bengal, Gujarat and the Coromandel,
 - Saltpeter: Bihar

- O Opium and rice: the Ganga valley.
- Monopolized trade in black pepper and spices.

Decline of the Dutch

- Got drawn into the trade of the Malay Archipelago.
- In the third Anglo-Dutch War (1672-74) English ships captured in Bay of Bengal by Dutch forces → retaliation by the English → defeat of the Dutch, in battle of Hooghly (1759).
- Battle of Colachel (1741) b/w Dutch and the king of Travancore Marthanda Varma leading to complete rout of Dutch power in Malabar region.
- Anglo-Dutch Treaty (1814):
 - o signed Dutch and English
 - facilitated restoration of Dutch Coromandel and Dutch Bengal to Dutch rule
 - Anglo-Dutch Treaty (1824) returned these places to British
 - ✓ Made it binding on the Dutch to ensure all transfers of property and establishments till/on March 1, 1825 AD.



English

- Factors contributed:
 - Charter of Queen Elizabeth
 I→Francis Drake's voyage the
 world in 1580
 - world in 1580

 English victory over the Spanish
 Armada in 1588



- In 1599, a group of English merchants a.k.a. 'Merchant Adventurers' formed a company.
- On December 31, 1600, Queen Elizabeth I issued a charter with rights of exclusive trading to the company named the 'Governor and Company of Merchants of London trading into the East Indies'.
- Initially, a monopoly of 15 years was granted, extended indefinitely in May 1609
- Shifting Dutch focus to the East Indies, the English turned to India for trade.

Expansion of the English Company

Expansion	on in West and South
1609	Captain Hawkins arrived at Jahangir court to
	establish a factory at Surat but, didn't
	succeed
	 Faced opposition from the Portuguese
	Left Agra in November 1611.
1611	 Started trading at Masulipatnam and later established a factory in 1616.
1612	• Captain Thomas Best defeated the
	Portuguese in the sea off Surat;
	• Received permission from Jahangir to
	establish a factory at Surat under Thomas
	Aldworth in 1613.
1615	Sir Thomas Roe, an accredited ambassador
	of James I, came to the court of Jahangir,
	staying there till February 1619.
1632	Received 'Golden Farman' issued by the
	Sultan of Golconda
1662	Bombay had been gifted to King Charles II
	by the King of Portugal as dowry when
	Charles married the Portuguese princess
	Catherine
1687	Seat of the Western Presidency shifted
	from Surat to Bombay

Expansion in Bengal

- Shah Shuja, the subahdar of Bengal in 1651, allowed the English to trade in Bengal in return for an annual payment of Rs 3,000, in lieu of all duties.
- Factories in Bengal: Hooghly (1651), Kasimbazar, Patna and Raimahal.
- William Hedges, the first governor of the Company in Bengal, appealed to Shayista Khan, the Mughal governor of Bengal in August 1682, for redressal of the grievance
 - Resulted in hostilities b/w the English and the Mughals.

- The English captured the imperial forts at Thana (modern Garden Reach), raided Hijli in east Midnapur and the Mughal fortifications at Balasore.
- English bought the zamindari of the three villages of Sutanuti, Gobindapur and Kalikata (Kalighat) from their owners on payment of Rs 1,200.
- Established Fort William in 1700,
 - Seat of the eastern presidency (Calcutta)
 - o First President: Sir Charles Eyre

Farrukhsiyar's Farmans

- In 1715, John Surman secured farmans from Farrukhsiyar giving the Company privileges in Bengal, Gujarat and Hyderabad.
- Magna Carta of the Company and its important terms are:
 - o In Bengal:
 - Imports and exports were exempted from additional customs duties in Bengal accepting the annual payment of 3,000 rupees as settled earlier.
 - **Permitted to issue dastaks** for the transportation of such goods.
 - Permitted to rent more lands around Calcutta.
 - In Hyderabad, privilege of freedom from duties in trade
 - Had to pay the prevailing rent only for Madras.
 - In Surat, for an annual payment of 10,000 rupees, exempted from levy of all duties.
 - O Coins of **Company minted at Bombay** to have currency throughout the Mughal Empire.

French





Foundation of French Centers in India

- Last Europeans to come to India with the purpose of trade
- Louis XIV, the king's famous minister Colbert laid the foundation of the Compagnie des Indes Orientales (French EIC) in 1664...
- Granted a 50-year monopoly on French trade in the Indian and Pacific Oceans.
- In 1667, Francois Caron headed an expedition to India, setting up a factory in Surat.
- Mercara, a Persian who accompanied Caron, founded another French factory in Masulipatnam in 1669 after obtaining a patent from the Sultan of Golconda.
- In 1673, French obtained permission from Shaista Khan, Mughal Subahdar of Bengal, to establish a township at Chandernagore near Calcutta.

Pondicherry- Center of French Power in India

- In 1673, Sher Khan Lodi, the governor of Valikandapuram (under the Bijapur Sultan), granted
 Francois Martin, the director of the Masulipatnam factory, a site for a settlement.
- In 1674, Pondicherry was founded and Francois Martin became French governor.
- Established its factories in coastal regions of India.
- Important trading Centers: Mahe, Karaikal, Balasore and Qasim Bazar

French EIC

 Badly affected with the outbreak of war b/w the Dutch and the French.

- Bolstered by their alliance with the English since the Revolution of 1688, the Dutch captured Pondicherry in 1693.
- the Treaty of Ryswick concluded in September 1697
 restored Pondicherry to the French
- In 1720, the French company was reorganized as the 'Perpetual Company of the Indies' which revived its strength.

British French Rivalry

- Anglo-French rivalry in India reflected the traditional rivalry of England and France beginning with the outbreak of the Austrian War of Succession and ending with the conclusion of the Seven Years War.
- In 1740, the political situation in south India was uncertain and confused. Nizam Asaf Jah of Hyderabad was old and fully engaged in battling the Marathas in the western.
- Decline of Hyderabad was the signal for the end of Muslim expansionism and the English adventurers got their plans ready.

The Danes (Denmark)

- In 1616 the Danish EIC was founded.
- In 1620, a factory at Tranquebar near Tanjore was established.
- Their principal settlement was at Serampore near Calcutta.
- Danish factories were sold to British government in 1845
- Danes are better known for their missionary activities than for commerce.

Carnatic Wars

y under Barnet seized French ships to provoke France. Bourdonnais, French governor of Mauritius retaliated by seizing Madras in 1746 with the fleet from Mauritius.		
, ,		
the fleet from Mauritius		
the neet nom maunitus.		
with Treaty of Aix-La Chapelle		
nded back to English, & French got territories in North America.		
or the Battle of St. Thome (in Madras) fought b/w the French forces and the forces of		
the Nawab of Carnatic, to whom the English appealed for help.		
ullet Dupleix, the French governor $ullet$ sought to increase his power and French political influence in		
southern India		
Hyderabad→After the death of Nizam-ul-Mulk→civil war b/w Nasir Jang, (son) and Muzaffar Jang		
sir Jung Claimed the		
afar Jung Throne of Hyderabad		
fc , t =r		

Carnatic→ Anwar-ud-din Khan vs Chanda Sahib.

Anwaruddin Khan
Chandha Sahib

Claimed the
Throne of Carnatic

French supported Muzaffar Jang and Chanda Sahib while English sided Nasir Jang and Anwar-ud-din.

Dupleix + Chandha Sahib + Musafar Jung

Battle of Ambur 1749

Defeated and killed Anwar-ud-din khan and made the Chandha Sahib the Nawab of Carnatic.

- Failed to provide effective assistance to **Muhammad Ali at Trichinopoly**, **Robert Clive** put forward the proposal for a **diversionary attack on the governor of Madras**, **Saunders**.
- Robert Clive attacked and captured **Arcot**. Mysore, Tanjore and the Maratha Chief, Morari Rao, came to aid of Trichinopoly, & of Clive & Stringer Lawrence.
- French faced heavy financial losses due to Dupleix's policy; recalled him in 1754.
- Godeheu succeeded Dupleix adopted a policy of negotiations with English and concluded a treaty
 with them.
- War ended with the Treaty of Pondicherry in 1754

Third Carnatic War (1758-63)

- In Europe, when Austria wanted to recover Silesia in 1756, the Seven Years War (1756-63) started.
- In 1758, the French army under **Count de Lally** captured the English forts of **St. David and Vizianagaram** in India in 1758.
- ullet Battle of Wandiwash- decisive battle of Third Carnatic War ullet English (victorious) in 1760 at Wandiwash (or Vandavasi) in Tamil Nadu.

General Eyer Coote headed British forces

Battle of Wandiwash
Count de Lally headed French forces which lost the Battle

- Treaty of Peace of Paris (1763): french allowed to use their settlements for commercial purposes only and banned fortification
- English became the supreme European power in the Indian subcontinent, since the Dutch had already been defeated in the Battle of Bidara in 1759.

Causes of English Success against French

- English company was a private enterprise and had less governmental control which created a sense of enthusiasm and self-confidence among the people.
- Whereas the French company was a State concert and was controlled and regulated by the French government and was hemmed in by government policies.
- The English navy was **superior** to the French navy.
- English held Calcutta, Bombay and Madras whereas French had only Pondicherry.
- French company was short of funds whereas the British had sound financial conditions which helped them significantly in the wars against their rivals.

Reasons for English Success against Other European Power

Structure and Nature of the Trading Company

- **English EIC** controlled by a board of directors **elected annually**.
- France and Portuguese companies:
 State owned and feudalistic.
- Monarch had >60% share in the French company and its directors were nominated by the monarch from the shareholders.
- Shareholders took very little interest in promoting prosperity of the company.
- b/w 1725 & 1765 company was managed as a department of State.

Navy	Royal Navy of Britain: largest and		•	Technological developments
	most advanced			equipped the military well.
	Victory against Spanish Armada and	Stable	•	Britain had a stable government
	against French at Trafalgar had put	Government		with efficient monarchs.
	Royal Navy at peak of European		•	France witnessed violent revolution
l	naval forces.			in 1789 and Napoleon's defeat in
	In India too, the British defeated the			1815 weakened France 's
	Portuguese and the French due to			government position.
	strong and fast movement of the		•	Dutch EIC, affected by bankruptcy in
	naval ships.			1800 coupled with revolution in
Industrial	• In England resulted with the			1830.
Revolution	innovation of new machines like the	Lesser Zeal	•	Britain was less zealous about
	spinning Jenny, steam engine, and	for Religion		religion and less interested in
	the power loom $ ightarrow$ greatly improved			spreading Christianity.
	production in the fields of textile,	Use of Debt	•	World's first central bank, Bank of
	metallurgy, steam power and	Market		England was established to sell
	agriculture.			government debt to the money
Military Skill	The British had a disciplined & well			markets.
and	trained army.		•	Britain was enabled to spend much
Discipline				more on its military than its rivals.

2 CHAPTER

Decline of the Mughal Empire



- Aurangzeb's reign (1658-1707) marked the beginning of the end of Mughal rule in India.
- Reason:
 - Aurangzeb's misguided policies
 - Weak successors and reduced stability of the state
 - Neglect of northwestern borders
 - Nadir Shah, the Persian emperor, attacked India in 1738-39, conquered Lahore and defeated the Mughal army at Karnal on February 13, 1739.

Foreign invasions

Nadir Shah's Invasion (1739)

• Emperor of Iran/Persia

Causes behind the invasion

- 1736, Muhammad Shah Rangeela broke all diplomatic ties with Persian court.
- Nadir envoy was detained by Rangeela, which probably infuriated him.
- Rangeela gave shelter to some Afghan nobles who had escaped from Nadir Shah when he had invaded Afghanistan.
- **Nizam-ul-Mulk and Saadat Khan s**ent special messages to Nadir Shah inviting him to invade India.

Course of Nadir Shah's Invasion

- He captured Jalalabad, Peshawar, and proceeded towards Lahore.
- **Zakariya Khan**, the governor of Lahore, surrendered without much of a fight.
- Nadir struck a gold coin and read khutba in his name.
- Nadir and Muhammad Shah fought at Karnal on c.1739
 CE.

Outcome of the Invasion

- Muhammad Shah was defeated and agreed to pay an indemnity of Rs. 25 crore
- Trans-Indus provinces including Sindh, western Punjab, and Kabul were ceded to Nadir.
- Nadir Shah's took away the **famous Kohinoor Diamond**.

Ahmad Shah Abdali (or Ahmad Shah Durrani)

- Successor of Nadir Shah and invaded India several times b/w 1748 and 1767.
- In 1757, captured Delhi and placed an Afghan caretaker to watch over Mughal emperor.
- Abdali had recognised Alamgir II as the Mughal emperor and the Rohilla chief, Najib-ud-Daula, as Mir Bakhshi of the empire, 'supreme agent' of Abdali.
- In 1758, Najib-ud-Daula was expelled from Delhi by the Maratha chief, Raghunath Rao, who also captured Punjab.
- In 1759, Ahmad Shah Abdali returned to India to take revenge on the Marathas.
- In 1761, Abdali defeated the Marathas in the Third Battle of Panipat.
- The last of Abdali's invasions came in 1767.

Third Battle of Panipat, 1761

- Fought b/w: Marathas under Sadashiv Rao Bhau vs
 Afghan forces under Ahmed Shah Abdali + two
 Indian Muslim allies (Rohilla Afghans of the Doab, and Shuja-ud-Daula, the Nawab of Awadh)
- Military: French cavalry support Maratha against heavy cavalry and mounted artillery (zamburak and jezail) of Afghans
- Financial Support to Afghans provided by Shuja-ud-Daula
- Afghans cut off the supplies of Marathas and weakened them
- Result: Marathas lost

Later Mughal

Bahadur Shah I (1709–March 1712)

- eldest son of Aurangzeb
- Adopted a **pacific policy** with the Marathas, the Rajputs and the Jats.
- Granted sardeshmukhi to maratha, but failed to grant them chauth.
- Followed a policy of compromise towards the nobles, granting them appropriate territories and postings.
- Never abolished the jizya

Jahandar Shah (March 1712 to Feb 1713)	minister and introduced the izara system to improve the financial condition of the empire.	Ahmad Shah (1748-1754) • incompetent ruler left state affairs to Udham Bai ('Queen Mother') • Invaded Delhi and Punjab along with Multan was ceded to him. • His Wazir, Imad-ul-Mulk, imprisoned him at Salimgarh.
Farrukhsiyar (1713-1719)	 With the help of Sayyid brothers— Abdulla Khan and Hussain Ali (k/a 'King Makers'), Farrukhsiyar became the emperor. Followed a policy of religious tolerance by abolishing Jizya and 	Alamgir II • Grandson of Jahandar Shah. (1754-1758) • Battle of Plassey (c.1757 CE) was fought during his tenure. • Murdered by his Wazir Imad-ul-Mulk.
	 pilgrimage tax. Banda Bahadur, the Sikh leader, was executed. Friction b/w Farrukh Siyar and Sayyid brothers →Sayyid brothers + 	Shahjehan III • Aka Muhi-ul-millat (1758-1759) • Placed on the throne in December 1759 with the help of Imad-ul-Mulk. • He was later deposed by Maratha chiefs.
Rafi-ud-Darajat (February 28 to June 4 1719)	 Died of consumption (tuberculosis) within four months. During his reign Nikusiyar (grandson of Aurangzeb) revolted and set himself as Emperor at Agra with the help of Mitrasen. He ruled for the shortest period 	Ali Gauhar / Shah Alam II (1759-1806) In Persian his kingdom was called "Sultanat-e-Shah Alam, Az Dilli ta Palam", meaning, "The kingdom or Shah Alam is from Delhi to Palam". His reign saw two decisive battles—the Third Battle of Panipat (1761) and the Battle of Buxar (1764). He fought the Battle of Buxar (1764) along with the combined armies or Mir Qasim, the Nawab of Bengal, and Shuja-ud-Daula, the Nawab or
Rafi-ud-Daula (June to Sept 1719)	 among the Mughals. Sayyid brothers placed Rafi-ud-Daula (Shah Jahan II) on the throne. He was an opium addict. Shortest reign; died very quickly suffered from tuberculosis. 	Awadh, against the British EIC. Forced to sign the Treaty of Allahabad (August 1765 CE). He was taken under the EIC's protection & resided as Allahabad.
Muhammad Shah (1719-48)	 Given the title of 'Rangeela' due to his luxurious life-style. He + Nizam-ul-Mulk Chin Qilich Khan, killed the Sayyid Brothers. In 1724, Nizam-ul-Mulk became 	Akbar II (1806- 37) Introduction of the Hindu–Muslim unity festival Phool Walon Ki Sair. Gave the title of Raja to Rammohar Rai. In 1835, coins bearing the names of Mughal emperors were stopped
	 wazir and founded Hyderabad. In 1739, Nadir Shah defeated the Mughals in the Battle of Karnal and later imprisoned Muhammad Shah. Autonomous states emerged under his reign: Nizam-ul-Mulk – Deccan Saadat Khan – Awadh Murshid Quli Khan – Bengal, Bihar, and Orissa. 	Bahadur Shah II (1837-1857) Last Mughal Emperor and nomina head of India. Urdu poet pen name 'Zafar' Participated in revolt of 1857 8 deported to Rangoon & died in 1862 In legal terms, the Mughal Empire came to an end on November 1 1858 with the declaration of Queer Victoria.

Causes of Decline of Mughal Empire

Intolerant policies of Aurangzeb

- The religious and Deccan policies of Aurangzeb contributed to the empire's decline.
- His hard-headed attitude towards the Marathas, Rajputs, and the Jats turned away the loyal warriors, and he went on making more enemies without having strong alliances.
- His religious policy alienated the Hindus

Weak Successors

- None of Aurangzeb's successors could give any stability to the empire.
- Absolutely inefficient and puppets in the hands of powerful nobles.
- Wars of succession plagued Delhi from 1707 to 1719 CE too weakened the empire.

Nobility Crises

- Mutual rivalry, jealousy and contest for power among the various groups reduced prestige of emperor, but also contributed to the decline of the empire.
- Nobels weakened the military by amassing income from the jagirs for themselves and cutting down the number of troops.

Ineffective Mughal Army, Neglect of Naval Power, and invasions

- Mughal army became inefficient and demotivated after losing many important battles.
- Neglect of naval power by the Mughals also cost them dearly.
- External invasions of Nadir Shah and Ahmad Shah Abdali took a heavy toll on imperial treasury and laid open inefficiencies of the military and political administration.

Depleting Economic Resources

- Increased number of amirs and their ranks or mansabs
 left little land to be distributed
- Aurangzeb tried to solve the problem of acute shortage
 of jagirs or bejagiri by showing enhanced income from
 the jagirs on record. But amirs tried to recover the
 recorded income from their jagirs by pressurising the
 peasantry.

- So both the amirs and the peasantry were antagonised which resulted into wars, the reduction in khalisa land, all of which burdened the state.
- Expenditure of the state exceeded its income.
- The once flourishing trade did not enrich the empire's coffers even as the inroads by European traders grew along coastal India.
- These economic and administrative problems only multiplied following the death of Aurangzeb.

Weak Administration Machinery

- Government of the Mughals was a personal despotism and so its success depended on the character of the reigning ruler.
- The later Mughals were worthless and neglected the administration.
- The degeneration of the rulers led to the degeneration of the nobility, with factious quarrels and intrigues costing the empire heavily.
- The empire had become too vast and unwieldy to be efficiently governed under weak rulers, especially under the existing conditions of transport and communication.

Shifting Allegiance of Zamindars

- Two classes shared the power during the medieval period, the zamindars and the nobles.
- Zamindars, hereditary owners of land, k/a rais, rajas, thakurs, khuts or deshmukhs.
 - helped in collection of revenue and in local administration
- Mughals had tried to curb the power of zamindars and maintain direct contact with peasants, but could not succeed.
 - o **zamindars helped the nobility to** carve out **independent kingdoms** for themselves.

Rise of Regional Aspirations

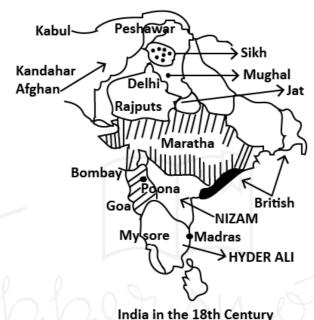
- Aurangzeb's reign it witnessed powerful regional groups like the Jats, Sikhs and Marathas defying the authority in their bid to create kingdoms of their own.
- Continuous struggle against the empire for political ascendancy weakened the empire considerably.

3 CHAPTER

Emergence of New States



• States that arose in India during the phase of Mughal decline and the following century (b/w c.1700 and 1850 CE) varied greatly in terms of their essential character, state resources, and their life span.



MAP: India in the 18th Century

- Each of the contenders in the regions, in proportion to their strength, looked for and seized opportunities to establish their dominance over the others in the neighbourhood.
- Thus the regional states of this period can be divided into three categories

Successor States

- Mughal provinces that turned into states after breaking away from the empire.
- Though they did not challenge the sovereignty of the Mughal ruler, the establishment of virtually independent and hereditary authority by their governors.
- Some of the prominent states in this category were Awadh, Bengal, and Hyderabad.
- The founder of the Awadh state was Saadat Khan, whereas the founder of Bengal was Murshid Quli Khan and the founder of Hyderabad state was Nizam-ul-Mulk Asaf Jah.

- Bengal Founder: Murshid Kuli Khan.
 - In 1727, he was succeeded by his son Shujaud- din.
 - In 1740, Shujaud-din's successor
 Sarfaraz Khan, killed by Alivardi Khan
 - Alivardi Khan assumed power and made himself independent of the Mughal emperor by giving yearly tribute.
 - From c.1756 to 1757 CE, the successor of Alivardi Khan, Siraj-ud-daulah fought against the English EIC over the trading rights.
 - Siraj-ud-daulah's defeat at the Battle
 of Plassey in c.1757 CE paved the way
 for the subjugation of Bengal as well
 as India by the British.

Awadh	Founder: Saadat Khan (k/a Burhan-ul- Mulk).
	 Maintained a well-paid, armed and well-trained army.
	His successors, Safdar Jung and Asaf
	ud Daulah gave a long-term administrative stability to the Awadh
	province.
	Faizabad and Lucknow emerged as
	centres of cultural excellence in the
	spheres of arts, literature, and crafts.
	Regional architecture reflected in
	Imambargahs and other buildings.
	Evolution of dance form Kathak was
	outcome of the cultural synthesis.
Hyderabad	• Founder: Kilich Khan (k/a Nizam-ul-
	Mulk).
	Disgusted with the Mughal emperor
	who had appointed Mubariz Khan as
	a full-fledged viceroy of the Deccan,
	decided to fight Mubariz Khan.
	Defeated and killed Mubariz Khan in
	the Battle of Shakar-Kheda (1724).
	• In 1725, he became the viceroy and
	conferred the title of Asaf-Jah and
	assumed the control of Deccan.

Establis	hed	by rebels against Mughal Empire, Ex
		e Sikh and the Jat states.
Marathas	•	Maratha Soldiers expert in guerilla tactics. Held important positions in the administrative and military systems of Deccan Sultanates of Bijapur and Ahmadnagar. Bhakti movement in Maharashtra under the influence of spiritual leaders like Tukaram, Ramdas, Vaman Pandit, and Eknath fostered social unity. Political unity was conferred by Shahji Bhonsle and his son Shivaji. Began their northward expansion and uprooted the Mughal authority from Malwa and Gujarat and established their rule. Lost the Third Battle of Panipat (1761) against Ahmed Shah Abdali. quickly recovered from defeat and offered a great challenge to English EIC

	• organised into 12 misls or
	confederacies
	Strong kingdom of Punjab established
	by Maharaja Ranjit Singh.
	Culmination of Sikh rebellions against
	Mughal rule.
	Applied principles of statecraft
	intelligently and used great trading city
	to his economic advantage.
	Brought under control area extending
	from Sutlej to the Jhelum, conquered
	Lahore in 1799 and Amritsar in 1802.
	By Treaty of Amritsar with British,
	acknowledged British control over cis-
	Sutlej territories.
	English forced him to sign Tripartite
	Treaty in 1838 with Shah Shuja and
	agreed to provide passage to the British
	troops through Punjab.
	Ranjit Singh died in 1839, His successors
	could not keep the state intact and the
	British took control over it.
The Jats	 Agriculturist and pastoral caste
	inhabiting the Delhi-Mathura region.
ALL	Began to revolt against the Mughal
$\langle A \rangle \langle A \rangle$	state from the time of Jahangir.
	 Revolted against the oppressive policies
۔ ا ـا ـ	of Aurangzeb.
1 The	Under Suraj Mal Jat power reached its
	zenith.
	His state included territories from
	Ganga in the east to Chambal in the
	south and included the Subahs of Agra,
	Mathura, Meerut and Aligarh.
	Jat state suffered a decline after the
	death of Suraj Mal in 1763.
ndepend	ent States
Enjoyed a	a lot of independence during the Mughal rule
as watar	n jagirs such as the Rajput, Mysore and
Travanco	re states.
The	• Great support to the Mughal, in
Rajputs	controlling the peripheral areas.
	Mughal relations suffered, due to

Guru Gobind Singh transformed the

Sikhs into a militant sect

The Sikhs

Aurangzeb's interference in the succession dispute of Marwar.

		Tried to re-establish their	1	Travancore		Founder: Martanda Varma
	_				•	
		independence in the 18th century.		(Kerala)		(Travancore as capital)
		Forced Bahadur Shah I to march			•	He extended the boundaries of his
		against Ajit Singh (1708), who had				state from Kanyakumari to Cochin.
		formed an alliance with Jai Singh II				Organised army along the Western
		and Durgadas Rathor.				model.
	•	But the alliance was broken and the			•	Extended patronage to the Syrian
		situation was saved for the Mughals.				Christians, a large trading community
	•	Most of the larger Rajput states were				within his domains.
		constantly involved in conflicts.			•	He declared many goods as royal
Mysore	•	Ruled by the Wodeyars.				monopoly items, requiring a license
	•	Various powers, interested in this				for trade, such as pepper.
		territory, turned the area into a			•	After Martanda Varma, Rama Varma
		constant battlefield.				(c.1758–98 CE) succeeded
	•	In the end the Mysore state was				
		brought under the rule of Haider Ali				
		and Tipu Sultan who ruled the state				

but not without trouble.

4 CHAPTER

Consolidation and Expansion of British Power in India

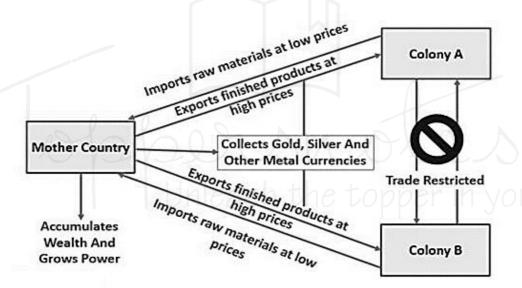


- The British EIC gradually expanded its trading activities in India after getting permission from the Mughals and the local rulers.
- During the course of Mughal rule decline, the British EIC developed a political power by the middle of the 18th century.
- The **British came as traders,** and realized that in order to obtain the profits from Indian trade, they had to secure political power, backed by force.

Mercantilism

- **Economic system of trade** from the 16th to 18th century.
- Based on the idea that a nation's wealth and power were best served by increasing exports and so involved increasing trade.
- nations frequently engaged their military might to ensure local markets and supply sources were protected

How Mercantilism Works?



Orientalism

- A concept that stresses the uniqueness of the culture and civili-zation of the Orient.
- Argued that peace would promote trade and it would be to Britain's advantage.
- Asiatic Society was established in 1784 to undertake research into the past of Indian culture and civilization.
- William Jones, Wilkins, H.T. Colebrooke, W.H. Wilson and Max Muller were well-known orientalists.
- Translations of imp. Sanskrit classics in European languages by orientalists of Asiatic Society.

- Warren Hastings, the Governor General of Bengal patronized Asiatic Society. Halhead prepared the 'Gentoo laws' with a view to ensure stability to the acquisitions of the British in India.
- Wellesley established Fort William College at Calcutta in 1800 to study the past of India.
 - **Focus of Fort William College** was to impart scholarship in Indian languages to the students to enable them to become good administrators.
- The British followed the policy of learning about Indian society to the extent that knowledge enabled the administrators to be conversant with laws and customs of Indians.

"Gentoo" is an archaic term once employed by Europeans to refer to the native inhabitants of India. The Code of Gentoo Laws is a translation into English from the original Sanskrit by way of Persian.

Characteristics of British Expansion in India

Territorial and	Company followed aggressive mercantilist policy in India
commercial ambitions of	• Aim was to find a solution to its payment problem as trade imbalance was a continuous
the Company	threat to EIC's solvency.
	Plunder and territorial acquisition emerged over time as the means to address the solvency
	issue
Growing boldness of the	Decline of Mughal power → empowered EIC to confront weaker rulers.
Company	EIC misused the privileges in Bengal given by Farrukhsiyar.
	Company not afraid of flouting state regulations.
Lack of unity in the Indian	• In the absence of an economic transformation of the country the indigenous powers fought
powers	unending wars of expansion.
	Gave Europeans the opportunity to interfere in Indian affairs.
Company's superior	EIC forged alliances with the Portuguese and later with Peshwa (1756) to defeat Tulajee
alliance diplomacy	Angre based in Vijaydurg.
	In Bengal EIC isolated Siraj-ud-daula by buying his rivals;
	Meddling in internal affairs & involved Nizams of Hyderabad in war against Tipu Sultan.
	EIVC leaders proved skillful diplomats. They made sure that a lasting alliance of Indian
	powers against the British never materialized.
Resources of Bengal	Conquest of Bengal (1757-65) provided EIC money, men and material needed to conquer
	other regions of India.
	gave EIC easy access to the large military labour market
Vision, cohesion and discipline	 Well trained and drilled Company troops were regularly paid in cash and were better disciplined. EIC officers, all Englishmen, created social cohesion under pressure. EIC represented a mercantile capitalist system different from the feudal Indian kingdoms.
Insufficient modernization & institutional weaknesses of Indian Rulers	 Impressed by European military technology and training methods, several Indian states modernized their armies by hiring European military experts. However, these modernization attempts had several noticeable weaknesses. Indian states failed to evolve a system of military finance like the Company did. Shinde state of Gwalior raised battalions led by English, European and Anglo-Indian officers who were paid in the jayedad system. i.e. revenue of a particular area was marked as payment to these foreign officers. the excessive reliance on European mercenaries proved fatal in certain cases. Ex: before Second Anglo-Maratha War (1803-05) all the European officers of Daulat Rao Shinde defected to the EIC under Lord Wellesley. native rulers could not develop a corps of Indian officers because they trusted European commanders>their own relatives due to the constant court intrigue they faced.
Alienation of masses	• Indian states fell to the advancing Company during the 18th and 19th centuries or became
from the Indian rulers	part of Wellesley's Subsidiary Alliance treaty system.
	• Indian states did not try to convert their resistance to mass resistance because the Indian
	peasants did not sympathize with their rulers.
	Marathas, and the Pindaris which usually accompanied their armies as scouts and raiders,
	did not endear themselves to the people in many parts of India.

Bengal

 Commercial interests in trading in Bengal, as nearly 60% of the British imports from Asia consisted of goods from Bengal.



In 1700, Murshid Quli Khan became the Dewan of Bengal and ruled till his death in 1727. He was succeeded by his son-in-law, Shujauddin who ruled till 1739. After that, for a year (1739-40), Sarfaraz Khan, an incapable son of Murshid Quli Khan, became the ruler; he was killed by Alivardi Khan.

- Alivardi Khan ruled till 1756 and also stopped paying tributes to the Mughal emperor. Under the rule of these rulers, Bengal made unprecedented progress.
- The friction b/w the English commercial interests and the Bengal government became the chief cause for conflict b/w the two.
- During a short period b/w 1757 and 1765, the power gradually got transferred from the Nawabs of Bengal to the British with the latter defeating the former.

Nawabs of Bengal and the British

- Murshid Quli Khan was appointed as the Diwan of Bengal by Aurangzeb.
- Shujauddin Khan, annexed Suba of Bihar to become a part of Bengal.
- Sarfaraz Khan took the title of Alam-ud—daula Haider Jung.
- Alivardi Khan nominated **Siraj-ud-daula** as his successor.
- Siraj-ud-daula prohibited the English from fortifying their factories at Calcutta which led to the Battle of Plassey in 1757.
- Mir Qasim granted Zamindari of Burdman, Midnapore and Chittagong to the British.
- Mir Jafar granted the right to free trade in Bengal, Bihar and Orissa and the Zamindar of 24 parganas to the British. He is aka the Jackal of Clive.
- Najm-ud-daula was the son of Mir Jafar and made
 Nawab British puppet ruler during the period of 'Dual System of Government'.

Black
Hole
Tragedy
(1756)

- Siraj-ud-daula sieged Fort William.
- 146 British people were compelled to surrender.
- Stuffed for a night in a room of 18 square feet, with only two windows and 123 people (Including natives) suffocated to death.
- Clive relieved Calcutta survivors & recovered the city on January 2, 1757

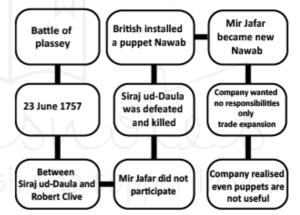
 An indecisive engagement led to a treaty with Sirāj ud-Daula on February 9, which restored the company's privileges.

Battle of Plassey (1757)

 Clive forged a secret alliance with the traitors of the nawab—Mir Jafar, Rai Durlabh, Jagat Seth (an influential banker of Bengal) and Omi Chand.



- On 23 June 1757, the armies of Sirajud-Daulah and the EIC met at Plassey (Palasi).
- Mir Jafar, the Commander in Chief of theSiraj-udDaulah did not take part in the battle.
- Jagat Seth, the richest banker of Bengal, also refused to help the Siraj-udDaulah
- Siraj-ud-Daulah was defeated, imprisoned and later killed.
- The British made Mir Jafar the nawab of Bengal.
- After Plassey, the English virtually monopolised the trade and commerce of Bengal.



Impacts of Battle of Plassev

- British made Mir Jafar a puppet and constantly demanded money from him.
- Obtained a grant of territories for maintenance of a properly equipped military force
- Supreme control of affairs passed to Clive.
- Sovereignty of English over Calcutta was recognised, and posted a Resident at nawab's court.

Mir Kasim

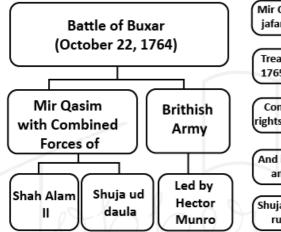
- Mir Jafar was irritated by the interference of Clive and entered into a conspiracy with the Dutch at Chinsura.
- But the Dutch were defeated by the English forces at Bedara in November 1759.
- Treachery of Mir Jafar and his failure annoyed the English.

- Vansittart, the new Governor of Calcutta, supported
 Mir Kasim & signed a treaty in 1760.
 - Mir Kasim agreed to cede to the Company the districts of Burdwan, Midnapur and Chittagong.
 - Mir Kasim promised to pay a sum of rupees five lakh towards financing the Company's war efforts in southern India.
 - O Mir Kasim's enemies = Company's enemies, and his friends, = Company's friends.
- Mir Jafar resigned in favour of Mir Kasim & a pension of Rs 1,500 per annum was fixed.

Administration of Mir Kasim

- Mir Kasim was the ablest nawab among the successors of Alivardi Khan.
- After assuming power, he shifted the capital from Murshidabad to Munger in Bihar.
- He reorganised the bureaucracy with the men of his own choice and remodeled the army to enhance its skill and efficiency.

Battle of Buxar



Mir Qasim Lost the battle and Mir jafar was again made the Nawab

Treaty of Allahabad was signed in 1765

Company got the tax collection rights from Bengal, Bihar and Odisa

And in return paid 26 lakh rupees annually to Mughal Emperor

Shuja ud daula had to pay 50 lakh rupees as war compansation



- Mir Qasim tried to free himself from British control.
- He dismissed the British officials and hired European experts to train his army.
- He abolished all duties on internal trade and made Indian and British merchants to trade on equal terms.
- The British decided to depose Mir Qasim.
- Mir Qasim fled to Awadh and formed an alliance with Shuja-ud-Daulah, the Nawab of Awadh and Shah Alam II, the Mughal Emperor.
- Combined armies that met the British forces at Buxar on 22 October 1764 were defeated by the English forces under Major Hector Munro.

Outcomes of the Battle

- Mir Qasim was deposed and Mir Jafar was made the nawab.
- English were permitted duty-free trade in Bengal, except for a duty of 2% on salt.
- Treaty of Allahabad was signed with Shuja-ud-Daula and Shah Alam II

The Treaty of Allahabad Robert Clive concluded two important treaties at Allahabad in August 1765 one with the Nawab of Awadh and the other with the Mughal Emperor, Shah Alam II.

Nawab Shuja-ud-Daula agreed to:

- surrender Allahabad and Kara to Emperor Shah Alam II;
- Rs 50 lakh to the Company as war indemnity;
- Give Balwant Singh, Zamindar of Banaras, full possession of his estate.

Shah Alam II agreed to:

- reside at Allahabad, to be ceded to him by the Nawab of Awadh, under the Company's protection;
- issue a farman granting the diwani of Bengal, Bihar and Orissa to the EIC in lieu of an annual payment of Rs 26 lakh;